

Annexure 1

Statement in Holding of Securities at the time of joining the company by Directors/Officers / Designated Employees

The Compliance Officer
Global Vectra Helicorp Limited
A-54, Kailash Colony,
New Delhi – 110 048

REG:- Initial Disclosure of Shareholding

Following are the details of shares held by me, _____ (Name) _____
(Designation and Department) and my dependents as on _____.

Name and PAN	Relationship	No. of Shares held in the Company	Other Details such as Folio / DP ID / Client ID
	Self		
Dependents:			
1.			
2.			
3.			
4.			

I undertake to furnish half yearly disclosures regarding transactions in the shares of the Company held by me and my Dependents, as well as Annual Returns regarding the complete details of all shares held by us within 30 days from the end of each half year / year.

Signature _____

Name _____

Designation _____

Department _____

Date _____

E-mail ID for
Communication _____

Annexure 2

Half Yearly / Annual Statement on transactions in the securities

(To be submitted as on 30th September and 31st March every year)

The Compliance Officer
Global Vectra Helicorp Limited
A-54, Kailash Colony,
New Delhi – 110 048

REG:- Disclosure of Transaction in securities of the Company

Following are the details of shares transacted by me,
and my dependents
for the 6 months period ended 31st March / 30th September _____.

Name and PAN	Details such as Folio / DP ID / Client ID through which transaction undertaken	No. of Shares held on the 1 st day of April / October	No. of Shares purchased during the 6 months period*	No. of Shares sold during the 6 months period*	No. of Shares held in the Company as on 31 st March / 30 th October

Details of shares held by Dependents

Name and PAN	Details such as Folio / DP ID / Client ID through which transaction undertaken	No. of Shares held on the 1 st day of April / October	No. of Shares purchased during the 6 months period*	No. of Shares sold during the 6 months period*	No. of Shares held in the Company as on 31 st March / 30 th October

*I / We declare that the securities subscribed by us in the primary market (Initial Public Offer) have been held for a minimum period of 30 days since the date of actual allotment.

Or

*I / We declare that I / We have bought / sold the above mentioned securities of the Company and shall not enter into an opposite transaction of sale / buy of any number of securities of the Company during the next six months following the above mentioned transaction.

And

*I / We shall not take positions in the derivative transactions in the securities of the Company at any time.

*I / We further declare that the above disclosure is true and correct and is in accordance with the previous disclosures given to the Company.

*Strike out whichever not applicable

I undertake to furnish half yearly disclosures regarding transactions in the shares of the Company held by me and my Dependents, as well as Annual Returns regarding the complete details of all shares held by us within 30 days from the end of each half year / year.

Signature	_____
Name	_____
Designation	_____
Department	_____
Date	_____
E-mail ID for Communication	_____

Annexure 3

Proforma of Application and Undertaking to be executed by the Directors / Officers / designated Employee for Pre-clearance of trades

The Compliance Officer
Global Vectra Helicorp Limited
A-54, Kailash Colony,
New Delhi – 110 048

REG:- Application and undertaking for pre-clearance of trades

I, _____ (Name),
_____ (Designation),

am presently holding shares in Global Vectra Helicorp Limited, as per the following details:

Serial No.	Physical Form	Demat Form		No. of Shares
	Folio No.	DP ID	Client ID	Presently Held

Further I am desirous of selling / buying _____ Shares of Global Vectra Helicorp Limited.

1. Through _____ DP ID where my Client ID is _____ (in case of trading transaction through stock exchanges) **OR**
2. Directly to / from _____ (in case off market transaction in physical or demat form)

I hereby seek pre-clearance for the aforesaid trade / transaction in the Company's securities, I confirm that :-

1. I will execute the order in respect of securities of the Company within one week after the approval of pre-clearance is given. If the order is not executed within one week after the approval is given, I agree to seek pre-clearance for the transaction again.
2. I will hold the securities being purchased by me in the primary market (initial public offer) for a minimum period of 30 days commencing from the date of actual allotment.
3. I have bought / sold the above mentioned securities of the Company and shall not enter into an opposite transaction of sale / buy of any number of securities of the Company during next six months following the above mentioned transaction and shall not take positions in derivative transactions in the securities of the Company at any time.

I have held the securities being sold by me for a period of atleast 30 days and as the sale of securities is necessitated by the following personal emergency, the minimum holding period may kindly be waived: (Please clearly state the reasons for requesting waiver of minimum holding period)

I hereby undertake and confirm that,

1. I do not have any access or have not received “ Prince Sensitive Information” up to the time of signing this understanding
2. In case I have access to or have received “ Prince Sensitive Information” after the signing of the undertaking but before the execution of the transaction I will inform the Compliance Officer of the Change in my position and that I would completely refrain from dealing in the securities of the Company till the time such information becomes public
3. I have not contravened the prevailing Code of Conduct for Prohibition of Insider Trading
4. I have made a full and true disclosure in this matter.

Signature _____

Name _____

Designation _____

Department _____

Date _____

E-mail ID for
Communication _____

PRE-CLEARANCE ORDER

This is to inform you that your request for dealing in _____ (nos.) shares of the Company as mentioned in your above mentioned application is approved. Please note that the said transaction must be completed on or before _____ (date) that is within 7 days from today.

Date:-

For Global Vectra Helicorp Limited

Compliance Officer

CONFIRMATION OF DEAL

The Compliance Officer
Global Vectra Helicorp Limited
A-54, Kailash Colony,
New Delhi – 110 048

I confirm that the share dealing for which approval was granted on _____ was completed on _____ by purchasing / selling _____ (nos.) equity shares of the Company.

Date:-

Signature